

APR 30 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kevin W. Smith

Serial No.: 09/854,812

Examiner: Unknown

Filed: May 14, 2001

Group Art Unit: Unknown

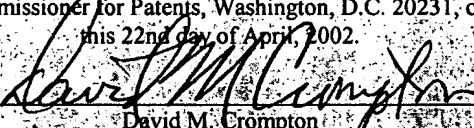
For: POLYPECTOMY SNARE INSTRUMENT

Docket No.: 1001.1451103

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 22nd day of April, 2002.

By   
David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

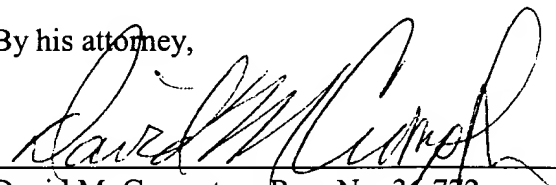
No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Kevin W. Smith

By his attorney,

Dated: 4/22/02

  
David M. Crompton, Reg. No. 36,772  
CROMPTON, SEAGER & TUFTE, LLC  
331 Second Avenue South, Suite 895  
Minneapolis, MN 55401-2246  
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Facsimile: (612) 359-9349

FORM PTO-1449

COPY OF PAPERS  
ORIGINALLY FILEDAtty. Docket No.:  
1001.1451103Serial No.:  
09/854,812LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Applicant: Kevin W. Smith

Filing Date

Group Art:

May 14, 2001

Unknown

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	4,503,855	03/12/1985	Maslanka	
AB	5,769,841	06/23/1998	Odell et al.	
AC	5,971,994	10/26/1999	Fritzschi	
AD	6,299,612	10/09/2001	Ouchi	

## FOREIGN PATENT DOCUMENTS

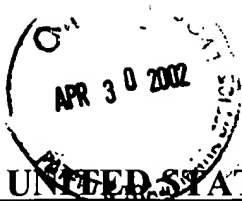
Document No.	Date	Country	Translation Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



0400

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08/10/01

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**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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By *David M. Crompton*  
David M. Crompton

We are transmitting herewith the attached:

- ☐ Amendment
- ☐ No additional fee required
- ☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X40=	\$	X80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

- [ ] A check in the amount of \$\_\_\_\_\_ is enclosed.
- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [ XX ] Other: SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT FOR PTO-1449 AND CITED REFERENCES.
- [XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: \_\_\_\_\_

David M. Crompton

Reg. No. 36,772

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